



IFW

PATENT  
Attorney Docket No. 27702/10046A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: O'Rourke <i>et al.</i>	)	I hereby certify that this paper is being
	)	deposited with the United States Postal
Serial No. 10/616,658	)	Service as first class mail, postage prepaid,
	)	in an envelope addressed to:
Filed: July 10, 2003	)	Commissioner for Patents, P.O. Box 1450,
	)	Alexandria, VA 22313-1450 on this date:
For: Low Polarity Dimerate And	)	
Trimerate Esters As Plasticizers	)	October 21, 2004
For Elastomers	)	
	)	
Group Art Unit: 1621	)	<u>Andrew M. Lawrence</u>
	)	Andrew M. Lawrence, Reg. No. 46,130
Examiner: Not yet assigned	)	Attorney for Applicants
	)	

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants enclose herewith a completed Form PTO-1449 listing documents for the examiner's consideration. Entry and consideration of the listed documents are solicited.

Additionally, the examiner's attention is directed to U.S. Patent Application Serial Nos. 10/360,294 and 10/811,510, which are currently pending in group art unit 1714. Neither of these applications have published, but a copy of an international patent publication (WO 2004/009692) corresponding to U.S. Serial No. 10/360,294 is enclosed.

Copies of the cited U.S. patents and U.S. patent application publications are not enclosed pursuant to the Patent Office's waiver of the requirement to provide such documents under 37 C.F.R. §1.98 for all U.S. national patent applications filed after June 30, 2003. Copies of the other cited documents are enclosed.

This information disclosure statement is being filed before the mailing of a first office action on the merits. In accordance with 37 C.F.R. §1.97(b), no certification or fee is required.

An early and favorable action on the merits is solicited.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP

October 21, 2004

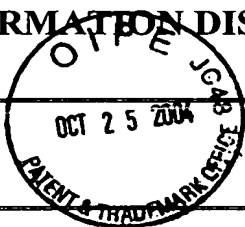
A handwritten signature in cursive script that reads "Andrew M. Lawrence".

---

Andrew M. Lawrence, Reg. No. 46,130  
Attorney for Applicants  
6300 Sears Tower  
233 S. Wacker Drive  
Chicago, Illinois 60606-6357  
(312) 474-6300

Form PTO-1449 (Modified)	Atty. Docket No. 27702/10046A	Serial No. 10/616,658
	Applicant(s) Stephen E. O'Rourke <i>et al.</i>	
	Filing Date July 10, 2003	Art Unit 1621

# INFORMATION DISCLOSURE STATEMENT



## U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Issue or Publication Date	Name	Class	Subclass	Filing Date (If Appropriate)
	2,940,949	06-14-1960	Mullin et al.	260	31.4	
	3,654,007	04-04-1972	Winstanley et al.	156	132	
	3,825,515	07-23-1974	Lucas et al.	260	31.8M	
	3,951,887	04/20/1976	Tanimura <i>et al.</i>	260	3	
	3,968,198	07-06-1976	Honda et al.	264	343	
	3,993,847	11-23-1976	Kondo	428	451	
	4,038,220	07-26-1977	Thompson	260	3	
	4,054,561	10-18-1977	Strauss et al.	260	22 D	
	4,061,835	12-06-1977	Poppe et al.	428	522	
	4,078,114	03-07-1978	Aronoff et al.	428	379	
	4,260,541	04-07-1981	Kolinsky et al.	260	45.8	
	4,281,077	07-28-1981	Hirzy	525	129	
	4,376,711	03-15-1983	Shaub	252	32.7E	
	4,472,537	09-18-1984	Johnson et al.	523	160	
	4,550,147	10-29-1985	Oohara	525	332.6	
	4,588,761	05/13/1986	Thoma <i>et al.</i>	524	38	
	4,645,788	02-24-1987	Okumoto et al.	524	308	
	4,656,214	04-07-1987	Wickson	524	287	
	4,683,250	07-28-1987	Mikami	522	33	
	4,789,381	12-06-1988	Oshiyama et al.	8	115.6	
	4,978,392	12-18-1990	Kilbarger et al.	106	95	
	4,978,716	12-18-1990	Flynn et al.	525	195	
	5,021,490	06-04-1991	Vyvoda et al.	524	140	
	5,057,566	10-15-1991	Kobayashi et al.	524	297	

EXAMINER:	DATE CONSIDERED:
-----------	------------------

Form PTO-1449 (Modified)	Atty. Docket No. 27702/10046A	Serial No. 10/616,658
	Applicant(s) Stephen E. O'Rourke <i>et al.</i>	
	Filing Date July 10, 2003	Art Unit 1621

**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Issue or Publication Date	Name	Class	Subclass	Filing Date (If Appropriate)
	5,071,899	12-10-1991	Wozniak	524	314	
	5,169,716	12-08-1992	Croft et al.	428	379	
	5,290,886	03-01-1994	Ellul	524	515	
	5,298,539	03-29-1994	Singh et al.	524	92	
	5,380,786	01-10-1995	Greenlee et al.	524	560	
	5,428,089	06-27-1995	Ishikawa et al.	524	188	
	5,604,277	02-18-1997	Osborn	524	270	
	5,605,955	02-25-1997	Hirai	524	588	
	5,792,805	08-11-1998	Williams	524	100	
	5,922,808	07-13-1999	Hanada et al.	525	58	
	5,973,045	10-26-1999	Dowling, et al.	524	270	
	5,985,963	11-16-1999	D'Sidocky et al.	524	105	
	6,111,004	08-29-2000	Biesiada et al.	524	311	
	6,127,512	10-03-2000	Asrar et al.	528	272	
	6,262,180	07-17-2001	Klun et al.	525	199	06-30-2000
	6,326,426	12-04-2001	Ellul	524	270	01-13-1995
	6,369,264	04-09-2002	Day et al.	560	83	06-15-2001
	2002/0010275	01-24-2002	Maly et al.	525	177	01-29-2001
	2003/0171471	09-11-2003	Pritschins et al.	524	306	10-18-2002
	2003/0220426	11-27-2003	Wentworth et al.	524	284	05-10-2002
	2003/0220427	11-27-2003	Wentworth et al.	524	284	11-21-2002
	2004/0002563	01-01-2004	Wentworth et al.	524	306	05-09-2003
	2004/0002564	01-01-2004	Wentworth et al.	524	306	05-09-2003

EXAMINER:	DATE CONSIDERED:
-----------	------------------

Form PTO-1449 (Modified)

Atty. Docket No.

27702/10046A

Serial No.

10/616,658

Applicant(s)

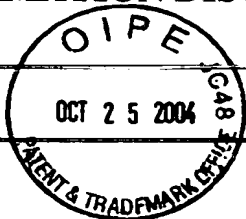
Stephen E. O'Rourke *et al.*

Filing Date

July 10, 2003

Art Unit

1621

**INFORMATION DISCLOSURE STATEMENT****FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Publication Date	Country	Translation	
				Yes	No
	2408772	04-19-2003	Canada		
	0 073 174 A1	03-02-1982	European Patent Office		
	EP 0 704 491	04-03-1996	European Patent Office		
	1 022 306 A1	07-26-2000	European Patent Office		
	EP 1 304 210	04-23-2003	European Patent Office	abstract only	
	2 417 522	09-14-1979	France	x	
	06223316	04-02-1996	Japan	abstract only	
	WO 2004/009692	01-29-2004	PCT		
	1 045 577	10-12-1966	United Kingdom		

**OTHER DOCUMENTS**

	International (PCT) Search Report for PCT/US03/21866 dated November 7, 2003
	International Preliminary Examination Report for PCT/US03/21866 dated May 14, 2004

EXAMINER:

DATE CONSIDERED: